GMP+ FC 2020 AUDIT REPORT

Initial audit

Company Name	Adams Logistics Oy
Registration nº GMP+	GMP059411
Audit date(s)	19.09.2023

General data

Site address	Voudintie 4, FI-90400 Oulu, Finland		
Legal registration nº	FI25067308		
e-mail	kari.alatorvinen@adamslogistics.fi		
Representative/position	Kari Alatorvinen / Managing Director		
Organisational structure	Company was founded in 2013. Company's special field are bulk carriages to Scandinavia, Central Europe, Baltic area. Company is certified in ISO 9001; 14001; 45001; SQAS and Ecovadis. https://www.adamslogistics.fi/en		
Activity/process of site(s)	Road transport of chemical industry products and feed materials		
Products/volumes/#trucks	Total of 44 trucks		
Nº of involved FTE	15	**Nº of shifts**	1
Total time onsite / reporting)	6.5 / 1.5	**Nº of HACCP-studies**	1
Lead auditor	Ojars Prizevoits	**Team members**	n/a
Additional attendee(s)	n/a	**Role of attendee(s)**	n/a
Accreditation body	Unaccredited	**Non-GMP+ FC Standard(s) covered in this audit**	n/a
Applied standard(s) FSA	R1.0 v. 01.01.2023	**Certificate expiry date**	n/a
Assessed Country Note(s)	n/a	**Certificate expiry date**	n/a
Applied standard(s) FRA	n/a	**Certificate expiry date**	n/a
Fixed certification scope	Road transport of feed materials		
Free certification scope	Road transport of formic acid		
Specification compound feed production	☐ Critical feed additives and/or critical veterinary medical products applied		
Excluded from certification	n/a	**Scope changed**	Yes** ☐ No ☒

**** see paragraph 2.1 for details

Audit objectives

The objectives of this audit were to determine conformity of the feed management system, or parts of it, with audit criteria and its:

- ability to ensure applicable statutory, regulatory and contractual requirements are met,
- effectiveness to ensure the organisation is continually meeting its specified objectives,
- ability to identify as applicable areas for potential improvement.

1. Current audit findings and conclusions

Nonconformities					
Critical:	-	**Major:**	-	**Minor:**	1

This was a process-based audit focusing on significant aspects, risks and objectives required by the standard(s). A sampling process was used, based on the information available at the time of the audit. Methods of assessment included interviews, observation and review of documentation.
The audit team concludes that the organization **HAS/~~HAS NOT~~** established and maintained its management system in line with the requirements of the standard and/or demonstrated the ability of the system to systematically achieve agreed requirements for products or services within the scope and the organization's policy and objectives.
Based on the result of this audit, the audit team recommends that certification or temporary acceptance be: **GRANTED**

2. Scope of the audit

2.1 Scope change (if applicable – see page 2)
In case of scope change:

The auditor is qualified for the new scope and audit time was found to be sufficient. n/a ☒ Yes ☐ No ☐

Details on amendments to previous scope and motivation of amendments
n/a

2.2 Other scope details

The scope includes an unannounced audit per certification cycle. Yes ☐ No ☒

Date of the unannounced audit in this certification cycle Yet to be realised ☐ mm-yyyy

The included feed materials and feed additives are validated by the below references
(Scopes 'Production of feed materials' and 'Production of feed additives' only)

Feed materials

Product	Nº FSP-list
n/a	

Feed additives

Product	Code (Register of feed additives)
n/a	

3. Nonconformities

☐ Not applicable; during this audit no NCs raised.

Minor nonconformities

Requirement	It is also important from time to time to reassess the status of all suppliers. The certified company must also take this on systematically. It is preferable to insert this reassessment into the yearly cycle
Scope	Road transport of feed materials
Standard(s)	S 9.7 // 3.2.3

Details nonconformity	Action/correction	Corrective measures	Implementation date
Not all the suppliers are annual assessed. For example, missing assessment of “Dantra”	We create an action for customer evaluation	We are preparing a ready-made questionnaire that serves the needs of our company and important aspects when acquiring services	The implementation will start immediately

Reviewed and accepted by:	Ojars Prizevoits	Date:	22.09.2023

4. Follow-up on nonconformities of previous audit

☒ Not applicable; during the previous audit no NCs raised.

5. Audit Attendance Record

Name	Position	Opening	Site Audit	Closing
Kai Stenberg	Transport coordinator	X	X	X
Kari Alatorvinen	Managing Director	X	X	X
Pauli Ponnikas	HSEQ Manager	X	X	X
Tommi Haapanen	Operations Manager	X	X	X

6. Audit findings

6.1 General findings and conclusions

Verified topics found to be compliant: **- in case of nonconformity: NC number** **- a conclusion on effectiveness and compliance to be included per topic**	**Assessed evidence:** **(Incl. version a/o date)**
Current status – context of the organisation: • Changes in raw materials, products and/or processes. • Observations by Authorities. • Applicable legislation - registration • Incidents and recalls: - Appropriate and timely notification of authorities, GMP+, CB. - Validity of follow-up - (final) destination of involved products/materials.	*Registration in local feed authorities No. T-00020161, dated 30.12.2014 *There is no visit of local feed authorities *No product recalls in company's history
Based upon the current status, the audit plan could be followed without amendments.	Yes ☒ No ☐
When 'no': de auditplan is adapted for the following aspects: •	
The feed safety management system (FSMS) is found to be suitable for the activities of the organisation and the needs and expectations of stakeholders.	Yes ☒ No ☐
Leadership and planning: • Policy and objectives; planning of objectives. • Responsibilities and organisation, including FST. • Resources and needs • Planning of changes.	*Policy dated 05.09.2023 *Objectives FY22 reviewed during the management review, dated 29.05.2023. Objectives FY23 on place *Job description of Transport coordinator - Kai Stenberg on place *Kai Stenberg's diploma in logistics dated 31.05.2008
Leadership and structured planning are adequately demonstrable.	Yes ☒ No ☐
Resource management: • Structure scheme • Effectiveness of training - Records of training and qualifications. • Equipment, layout, facilities. • Purchasing and management of material and service suppliers. - Agreements. - Invoices. • Evaluation of the supplier's **NC**	*Structure scheme dated 2022 *Internal training procedure dated 03.11.2015 *Internal trainings dated 01.07.2023, attendance sheet on place *Specific trainings in GMP+ program developed, to be implemented asap *List of sub-contractors and suppliers on place. For example, checked assessment of the supplier "A-Rahti Oy" dated 10.08.2022, "Chemline Finland Oy" dated 23.11.2023. *Cleaning station service request dated 31.08.2023 from L&T company, vehicle plate number 777-APO / DGE-638.
Resources, incl. a competent work force, are available and adequately managed.	Yes ☒ No ☐
Control of operational activities:	*Quality manual / Operational handbook dated

Verified topics found to be compliant: - in case of nonconformity: NC number - a conclusion on effectiveness and compliance to be included per topic	Assessed evidence: (Incl. version a/o date)
• Identification and traceability. • Nonconforming products. • Management of the primary process: - Verification at receipt. - Assessment of (previous) loads. - Storage and silo 'empty' notifications. - Flow diagrams and flow charts - Processing including control of CCPs. - Labelling and product information. - Sell agreements and invoices	05.09.2023 *Order management system "Loggiapps" include traceability, cleaning certificate copies, etc. for example checked loading compartment No. DMM-229, each certificate on place, roads and transported goods information saved, GMP+ status of feed can be traced, etc.
Operational activities are well-controlled to ensure feed safety	Yes ☒ No ☐
Management of the prerequisite programs: • Access control. • Status of (production) areas and equipment – general hygiene level • Preventive and corrective maintenance. - Infrastructure - Equipment • Water quality • Pest control. • Waste management. • Glass/Plastic • Cleaning (areas, equipment, loading compartments, silo's, tanks). • LCI reports • Transport (Supplier or own)	*Office doors are closed, CCTV on site, computers under the passwords *Cleaning document No. 262046. Cleaning regime C, vehicle plate number 777-APO / DGE-638. *Cleaning document No. 240205, dated 27.07.2023 cleaning regime B, veicle plate number CNS-347 / WKS-233 *List of trucks and loading compartments on place *Order No. 17793 (non-GMP+) route from Voikkaa to Nokia dated 19.09.2023 20mt of Interox ST-50% - order completed *LCI report of loading compartment YLV-604, dated 30.04.2023, as well can be traced by "Loggiapps" IT tool
The organisation has fully implemented complete and effective PRPs	n/a ☐ Yes ☒ No ☐
Product and raw material compliance: • Analysis of feed materials/compound feeds as mentioned in TS 1.7. • Analysis of feed materials/compound feeds based on own risk analysis. • Follow-up on non-conforming monitoring results. • Storage of samples. • Product specification.	*Product specification of formic acid, trade name "Helm FS B+, dated 09.03.2023

Summary of analyses compliant with GMP+ TS 1.7				
Product	**Analysis type/ Contaminant**	**Analysis freq./ No. of samples**	**Laboratory/ TS 4.2 reg.no.**	**Verified results**
n/a				
The organisation's sampling and monitoring program was found to be valid.				n/a ☒ Yes ☐ No ☐

Verified topics found to be compliant: - **in case of nonconformity: NC number** - **a conclusion on effectiveness and compliance to be included per topic**	**Assessed evidence:** **(Incl. version a/o date)**
Results of TS 1.7-required monitoring are uploaded in the GMP+ database.	n/a ☒ Yes ☐ No ☐
TS 1.7-required analyses are performed by labs compliant with TS 1.2.	n/a ☒ Yes ☐ No ☐
Where positive release is required, this is fully demonstrable.	n/a ☒ Yes ☐ No ☐
The organisation's corrective actions on non-conforming monitoring results, including appropriate notifications, were found to be valid.	n/a ☒ Yes ☐ No ☐
Process compliance: • Calibration of monitoring devices. • Carry-over and mixer uniformity. • Validation of (new) processes and procedures.	
Process compliance adequately managed by validation and verification.	n/a ☐ Yes ☒ No ☐
Management of the FSMS: • Documentation management and distribution. • Planning, realization and follow-up of internal audits.* • HACCP-team competence and –meetings. • HACCP-study: scope and validity* • HACCP validation and verification • Validity of (critical) limits, monitoring and corrective actions. • Nonconforming products and complaint management. • EWS and recall. Incidents and tests.* • Verification and management review.*	*Documents are stored in "Sharepoint" available for all employees *Internal audit dated 05.06.2023. One NC found during the audit, few recommendations for improvement observed. *Risk management procedure No. P09 – 2023: Project, Personal, Operation, Agreements, Information security, Process related, Product and environmental risks, etc *Management review dated 29.05.2023 Mian topics: Internal/external audits, HACCP review, customer feedback, risk analysis, accidents, sub-contractor audits, claim reports, changes and investment plans, resources, internal trainings, etc.
The feed management system is adequately managed.	Yes ☒ No ☐
References to the certified status: • Use of the certification mark and, where applicable, accreditation mark • Valid reference to the GMP+-certified and applicable Country Notes status on labels a/o appropriate documents	*Company will use GMP+ logo on e-mail signatures and homepage https://www.adamslogistics.fi/en *Company will use "**GMP+ FSA assured**" statement on agreements
References to GMP-certification are valid and unambiguous	Yes ☒ No ☐

6.2 Findings per CCP

☒ Not applicable; the organization has validly not identified any CCPs.

7 Gatekeeper files

☒ Not applicable, the organization doesn't purchase products and/or services under gatekeeper protocol.